



COMMONWEALTH OF KENTUCKY  
**KENTUCKY BOARD OF AUCTIONEERS**  
656 CHAMBERLIN AVE, SUITE-B  
FRANKFORT, KENTUCKY 40601  
<http://auctioneers.ky.gov>  
(502) 564-7760

PLEASE PRINT

### AUCTIONEER LICENSE APPLICATION

1. ☐ New License ☐ Renewal ☐ Reactivation from Escrow License # \_\_\_\_\_

2. ☐ Apprentice ☐ Principal ☐ Auction House Operator ☐ Limited Livestock

3. Daytime Telephone Number (\_\_\_\_) \_\_\_\_\_

4. \_\_\_\_\_  
First Middle Last (as name will be printed on license)

5. Home / Mailing Address:

Street or PO Box: \_\_\_\_\_

City, State, Zip Code \_\_\_\_\_

Email Address: \_\_\_\_\_

6. For **apprentice** license only, who is your Principal Auctioneer? \_\_\_\_\_

7. For **principal** license and **auction house operator** license, complete the following:

Auction Business/House Name: \_\_\_\_\_

Street Address: \_\_\_\_\_

Mailing Address: \_\_\_\_\_

City, State, Zip Code \_\_\_\_\_

Business County (KY) \_\_\_\_\_

Business Telephone Number: (\_\_\_\_) \_\_\_\_\_

8. For **auction house operator** license only:

Auction House Owner

Percentage of Ownership

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

9. If applicant is an apprentice who took the exam and completed an approved 80 hour auction course and was licensed between 6/30/2010 and 7/1/2015 and is applying to become a principal, THE PRINCIPAL AUCTIONEER OF THE LICENSE APPLICANT completes this section:

I, (print name) \_\_\_\_\_, a Principal Auctioneer, do hereby certify that Apprentice Auctioneer, \_\_\_\_\_, License number A- \_\_\_\_\_, has **participated actively and materially in at least ten (10) auctions.**

Sellers' Names and Auction Dates:

- |          |           |
|----------|-----------|
| 1. _____ | 6. _____  |
| 2. _____ | 7. _____  |
| 3. _____ | 8. _____  |
| 4. _____ | 9. _____  |
| 5. _____ | 10. _____ |

10. Applicant's Certification and Authorization:

*I hereby submit the licensure fee for a license and proof of completed instruction required in conformity with the Auctioneer Law (KRS 330) and Regulations (201 KAR 3) of the Commonwealth of Kentucky. I certify that all the information contained on this application and attached material is true and correct. I understand that all principal auctioneers conducting an auction in Kentucky are required, themselves or through their auction company, to maintain a Sales and Usage Tax permit reporting number with the Kentucky Department of Revenue.*

*I also hereby authorize an investigation into all educational, criminal, and civil records which may be relevant to this application. This authorization shall serve as a release of all liability to all parties furnishing such information to the Kentucky Board of Auctioneers and their authorized agents, as well as to the Board for use of such information.*

*If I am not a resident of Kentucky, I hereby irrevocably consent, stipulate, and agree that suits and actions may be commenced against me in the proper court of any county in the Commonwealth in which a cause of action arises, by the service of any process or pleading authorized by the laws of the Commonwealth of Kentucky on the Secretary of the Kentucky Board of Auctioneers. That service of such process or pleadings on said Secretary shall be taken and held in all courts to be as valid and binding as if the service had been made upon me in the Commonwealth of Kentucky.*

DATE: \_\_\_\_\_ SIGNATURE: \_\_\_\_\_

**11. Notary Certification**

I certify this application was subscribed and sworn to before me by \_\_\_\_\_.

On this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_\_.

NOTARY SIGNATURE: \_\_\_\_\_

My commission in the state of \_\_\_\_\_ expires on \_\_\_\_\_.